

AMENDMENT OF SOLICITATION / MODIFICATION OF CONTRACT		1. Contract Number DCKA-2015-R-0051	Page of Pages 1 18
2. Amendment/Modification Number Amd 8	3. Effective Date See 16C	4. Requisition/Purchase Request No.	5. Solicitation Caption Cyber Security Assessment
6. Issued by: District Department of Transportation OCP 55 M Street, SE Suite 700 Washington, DC 20003		7. Administered by (If other than line 6) District Department of Transportation TOA 55 M Street, SE 6 th floor Washington, DC	
8. Name and Address of Contractor (No. street, city, county, state and zip code)		<input checked="" type="checkbox"/> 9A. Amendment of Solicitation No. DCKA-2014-B-0051	
		<input type="checkbox"/> 9B. Dated (See Item 11) 8/14/2015	
		<input type="checkbox"/> 10A. Modification of Contractor/Order No.	
		<input type="checkbox"/> 10B. Dated (See Item 13)	
Code	Facility		
11. THIS ITEM ONLY APPLIES TO AMENDMENTS OF SOLICITATIONS			
<input checked="" type="checkbox"/> The above numbered solicitation is amended as set forth in item 14. The hour and date specified for receipt of Offers <input type="checkbox"/> is extended. <input type="checkbox"/> is not extended. Offers must acknowledge receipt of this amendment prior to the hour and date specified in the solicitation or as amended, by one of the following methods: (a) By completing Items 8 and 15, and returning <u>1</u> copies of the amendment; (b) By acknowledging receipt of this amendment on each copy of the offer submitted; or (c) BY separate letter or fax which includes a reference to the solicitation and amendment number. FAILURE OF YOUR ACKNOWLEDGMENT TO BE RECEIVED AT THE PLACE DESIGNATED FOR THE RECEIPT OF OFFERS PRIOR TO THE HOUR AND DATE SPECIFIED MAY RESULT IN REJECTION OF YOUR OFFER. If by virtue of this amendment you desire to change an offer already submitted, such may be made by letter or fax, provided each letter or telegram makes reference to the solicitation and this amendment, and is received prior to the opening hour and date specified.			
12. Accounting and Appropriation Data (If Required):			
13. THIS ITEM APPLIES ONLY TO MODIFICATIONS OF CONTRACTS/ORDERS, IT MODIFIES THE CONTRACT/ORDER NO. AS DESCRIBED IN ITEM 14			
A. This change order is issued pursuant to (Specify Authority): The changes set forth in Item 14 are made in the contract/order no. in item 10A.			
B. The above numbered contract/order is modified to reflect the administrative changes (such as changes in paying office, appropriation data etc.) set forth in item 14, pursuant to the authority of 27 DCMR, Chapter 36, Section 3601.2.			
C. This supplemental agreement is entered into pursuant to authority of:			
D. Other (Specify type of modification and authority) Adding additional scope and increasing the amount of the original contract			
E. IMPORTANT: Contractor <input type="checkbox"/> is not <input checked="" type="checkbox"/> is required to sign this document and return <u>1</u> copies to the issuing office.			
14. Description of Amendment/Modification (Organized by UCF Section headings, including solicitation/contract subject matter where feasible.) Solicitation No.: DCKA-2015-R-0051 is hereby amended:			
1. Responses to the submitted questions are provided as Attachment A. 2. Section J page 29 of the RFP is DELETED AND REPLACED by Section J page 29R- see Attachment B 3. Section L page 31 of the RFP is DELETED AND REPLACED by Section L page 31R- see Attachment C 4. Required documents that must be submitted from Section J are provided as Attachment D			
Except as provided herein, all terms and conditions of the document referenced in item (9A or 10A) remain unchanged and in full force and effect.			
15A. Name and Title of Signer (Type or print)		16A. Carl E Brown Contracting Officer	
15B. Name of Contractor (Signature)	15C. Date Signed	16B. District of Columbia (Signature of Contracting Officer)	16C. Date Signed 8/20/15

ATTACHMENT

A

S. NO	Comment	DDOT Answer
1	DDOT has request that an inventory document be created showing various device types. Is there a specific format that DDOT is looking for?	No specific format is in works now. DDOT would like to have editable reports as well as a PDF file as final deliverables
2	DDOT has requested that the vendor evaluate security vulnerabilities under three scenarios. Does the DDOT want the selected vendor to theorize through Threat Modeling exercises of what those scenarios may be, or to actually simulate an intruder gaining control of one of the stated devices? If it is the latter of the two, will DDOT provide access to those devices in order to simulate an actual attack.	DDOT will provide access to the devices but will be done in a highly controlled environment to avoid any possible damage
3	Page 25 of the solicitation includes the following text within Section I.9, FHWA Terms: "This Contract is anticipated to be wholly or partially funded by the FHWA. All contractual provisions required by the FHWA are hereby incorporated by reference." Will Offerors be afforded the opportunity to review the aforementioned contractual provisions required by the FHWA that would apply to a resulting award under DCKA-2015-R-0015?	Yes.
4	Page 29 of the solicitation in Section J states the following: "If an attachment requires completion and/or execution, Contractor must submit the completed and/or executed attachment with its offer." The remainder of the solicitation does not reference these attachments. How is an Offeror to determine which attachments require completion? For those attachments requiring completion, the provision of copies or links to copies of these documents is respectfully requested.	see amendment #8
5	Within Block 9 of Section A on Page 1 of RFP DCKA-2015-R-0051 it states that an Offeror is required to submit one (1) original and six (6) copies of its proposal. Within Section L.2, paragraph 2, on Page 31R, the RFP states that Offerors are requested to submit one (1) original and eight (8) copies. Clarification is respectfully requested regarding the number of required copies.	An Offeror shall submit one original and six copies of its proposal.

<p>6 Will DDOT accept proposals that are delivered in person by the Offeror to the 4th Floor Bid Room at 55 M Street, SE, Washington, D.C. 20003?</p>	<p>Yes.</p>
<p>7 Is the expectation that the incumbent will be responsible for physically surveying each individual traffic signal cabinet?</p>	<p>No physical survey is required for the project. Contractor is expected to do all the survey of equipment from the traffic management center using auto discovery or related tool</p>
<p>8 In CLIN 001 and 2 when you speak of "survey" is this the actual security assessment?</p>	<p>Contractor is expected to develop a snapshot of all devices in field in editable document before doing an actual security assessment. Once DDOT approves the document, actual threat assessment task will begin</p>
<p>9 Is DDOT asking for multiple individual engagements at a fixed contractual price, or is DDOT looking for a dedicated team of full time employees working on these projects for the duration of the engagement?</p>	<p>DDOT is looking for a dedicated team of full time employees. If change of personnel takes place during the project period, DDOT will request the Contractor to submit new person's resume for review and approval</p>
<p>10 If DDOT is seeking a full time team, does DDOT have an ideal size for this onsite team for the duration of the engagement?</p>	<p>There is no ideal size on site team requirement per RFP. It is dependent on vendor requirements and proposal to complete the work</p>
<p>11 Does DDOT preclude or give preference to selected vendor chosen for this contract to participate in phase II of cyber security project</p>	<p>Please refer to Amendment #6</p>

ATTACHMENT

B

SECTION J: ATTACHMENTS

The following attachments are incorporated into the solicitation by reference. If an attachment requires completion and/or execution, Contractor must submit the completed and/or executed attachment with its offer.

Attachment Number	Document
J.1	Government of the District of Columbia Standard Contract Provisions for Use with the Supplies and Services Contracts (March 2007) available at www.ocp.dc.gov click on "Solicitation Attachments"
J.2	U.S. Department of Labor Wage Determination No., 2005-2103 Modification No. 16, dated 7/8/2015 http://www.wdol.gov/wdol/scafiles/std/05-2103.txt
J.3	Tax Certification Affidavit Available at www.ocp.dc.gov click on "Required Solicitation Documents"
J.4	Certification Of Bidder Regarding Equal Employment Opportunity
J.5	Past Performance Evaluation Form
J.6	Certification For Lobbying
J.7	Non Collusion Affidavit
J.8	Certification Regarding Debarment

SECTION K: REPRESENTATIONS, CERTIFICATIONS AND OTHER STATEMENTS OF OFFERORS

ATTACHMENT

C

L.I CONTRACT AWARD

L.1.1 Most Advantageous to the District

The District intends to award one contract resulting from this solicitation to the responsible offeror whose offer conforming to the solicitation will be most advantageous to the District, cost or price, technical and other factors, specified elsewhere in this solicitation considered.

L.1.2 Initial Offers

The District may award a contract on the basis of initial offers received, without discussion. Therefore, each initial offer should contain the offeror's best terms from a standpoint of cost or price, technical and other factors.

L.2 PROPOSAL FORM, ORGANIZATION, AND CONTENT

1. Proposal submissions shall be 8.5- by 11-inch format and typewritten with the type font size being no smaller than 12 point, other than in tables and figures, which may be prepared using 10-point font size type; 11- by 17-inch format is acceptable for drawings. Support letters provided from parties outside the United States may be submitted in A4 format, and design drawings may be submitted on roll plots not to exceed 34 inches wide (such design drawings shall also be submitted on CD or DVD in PDF format and in Bentley MicroStation V8 format). Printed lines may be single-spaced. Each 11- by 17-inch foldout will be considered one page.

Offerors will be required to submit separately from the technical portion of their respective proposals (a) a copy of their pricing portion of their proposal. The technical portion of the proposal consists of everything other than an Offeror's (b) Offer Letter and required documents, (c) response to the pricing portion

2. Offerors shall submit one (1) original and eight (8) copies of the proposals in a sealed envelope or package conspicuously marked "Response to Solicitation No. DCKA-2015-R-0051." The Offeror shall separate the proposal response into in four (4) parts; titled and grouped as follows:
 - 1) Offer Letter and required documents (see L.2.1.1.) shall be submitted in individual binders conspicuously marked: "Offer Letter in response to Solicitation No. DCKA-2015-R-0051."
 - 2) Technical Proposals shall be submitted in individual binders conspicuously marked: "Technical Proposal" in Response to Solicitation No DCKA-2015-R-0051.

ATTACHMENT

D

GOVERNMENT OF THE DISTRICT OF COLUMBIA

**Office of the Chief Financial Officer
Office of Tax and Revenue**



TAX CERTIFICATION AFFIDAVIT

THIS AFFIDAVIT IS TO BE COMPLETED ONLY BY THOSE WHO ARE REGISTERED TO CONDUCT BUSINESS IN THE DISTRICT OF COLUMBIA

Date

**Name of Organization/Entity
Business Address (include zip code)
Business Phone Number(s) Date**

**Principal Officer Name and Title
Square and Lot Information
Federal Identification Number
Contract Number
Unemployment Insurance Account No.**

"I hereby authorize the District of Columbia, Office of the Chief Financial Officer, Office of Tax and Revenue; consent to release my tax information to an authorized representative of the District of Columbia agency from which I am seeking to enter into a contractual relationship. I understand that the information released under this consent will be limited to whether or not I am in compliance with the District of Columbia tax laws and regulations as of the date found on the government request. I understand that this information is to be used solely for the purpose of determining my eligibility to enter into a contractual relationship with a District of Columbia agency. I further authorize that this consent be valid for one year from the date of this authorization."

I hereby certify that I am in compliance with the applicable tax filing and payment requirements of the District of Columbia.

The Office of Tax and Revenue is hereby authorized to verify the above information with the appropriate government authorities. The penalty for making false statements is a fine not to exceed \$5,000.00, imprisonment for not more than 180 days, or both, as prescribed by D.C. Official Code § 47-4106

Signature of Authorizing Agent **Title**

Office of Tax and Revenue, PO Box 37559, Washington, DC 20013

INVITATION NO.: DCKA-2015-R-0051

PROJECT NAME: CYBER SECURITY ASSESSMENT

EQUAL EMPLOYMENT OPPORTUNITY CERTIFICATE

Bidder represents that he [] has [] has not participated in a contract or subcontract subject to in substance either the equal opportunity provisions in Article 6 of LABOR PROVISIONS, STANDARD CONTRACT PROVISIONS, 1973, or Section 202 of Executive Order 11246 of 3 CFR; that he [] has [] has not filed all required compliance reports under any such contract or subcontract; and that representations indicating submission of required compliance reports signed by proposed subcontractors will be obtained prior to subcontract awards.

NONSEGREGATED FACILITIES CERTIFICATE

Bidder represents that he does not and will not maintain or provide for his employees any segregated facility at any of his establishments; that he does not and will not permit his employees to perform their services at any location under his control where segregated facilities are maintained; and that he will obtain and retain identical certifications from proposed subcontractors prior to award of subcontracting exceeding \$10,000.00.

"Segregated facilities" shall mean any waiting room, work area, wash and rest room, eating area, time clock, locker room and other storage or dressing area, parking lot drinking fountain, recreation or entertainment area, transportation and housing facility, provided for employees which is segregated by explicit directive or is segregated on the basis of race, creed, color, or national origin, because of habit, local custom or otherwise.

Penalty for violations or making false statements is prescribed in 18 USC 1001.

Company

Signature of Official

Title

Date

**DISTRICT DEPARTMENT OF TRANSPORTATION
DISADVANTAGED BUSINESS ENTERPRISE CERTIFICATION
SUBCONTRACTOR (DBE & Non-DBE) INFORMATION
 49 CFR PART 26**

INVITATION No.: DCKA-2015-R-0051

PROJECT NAME: CYBER SECURITY ASSESSMENT

The contractor or subcontractor shall not discriminate on the basis of race, color, national origin, or sex in the performance of this contract. The contractor shall carry out applicable requirements of 49 CFR Part 26 in the award and administration of DOT-assisted contracts. Failure by the contractor to carry out these requirements is a material breach of this contract, which may result in the termination of this contract or such other remedy, as the recipient deems appropriate.

I hereby submit the names of any firm, both DBE and Non-DBE from which quotations were received or discussions were held in conjunction with this project. The following information is being provided: name, address of firm, proposed area of work, and proposed dollar amount.

Name	Address	Area of work	Proposed \$ amount

Additionally, I certify that, I will submit a complete DBE Plan in accordance with the Special Provisions entitled **“Participation by Disadvantaged and Non-Disadvantaged Business Enterprise Firms”** within five (5) days subsequent to the bid opening. Said plan will contain the name, address of DBE firm, amount of award and area of work. **A bidder’s/offeree’s failure to submit this certification or submission of a false certification may render his/her bid non-responsive.**

_____ Title of Official

_____ Company

_____ Signature of Official

_____ Date

Revised 11/04

ATTACHMENT D

PAST PERFORMANCE EVALUATION FORM

(Check appropriate box)

Performance Elements	RATING (See Rating Guidelines on Page 2)					
	5 – Excellent	4 – Good	3 – Acceptable	2 – Minimally Acceptable	1 – Poor	0 – Unacceptable
Quality of Services/Work						
Timeliness of Performance						
Cost Control						
Business Relations						
Customer Satisfaction						

1. Name of Contractor being Evaluated: _____

2. Name & Title of Evaluator: _____

3. Signature of Evaluator: _____

4. Name of Evaluator's Organization: _____

5. Telephone Number of Evaluator: _____

6. Type of service received: _____

7. Contract Number, Amount and period of Performance _____

8. Remarks on Excellent Performance: Provide data supporting this observation. Continue on separate sheet if needed)

9. Remarks on unacceptable performance: Provide data supporting this observation. (Continue on separate sheet if needed)

ATTACHMENT D

RATING GUIDELINES

Summarize Contractor performance in each of the rating areas. Assign each area a rating of 0 (Unacceptable), 1 (Poor), 2 (Acceptable), 3 (Good), 4(Excellent), or ++ (Plus). Use the following instructions a guidance in making these evaluations.

	Quality Product/Service	Cost Control	Timeless of Performance	Business Relations
	<ul style="list-style-type: none"> -Compliance with contract requirements -Accuracy of reports -Appropriateness of personnel -Technical excellence 	<ul style="list-style-type: none"> -Within budget (over/ under target costs) -Current, accurate, and complete billings -Relationship of negated costs to actual -Cost efficiencies -Change order issue 	<ul style="list-style-type: none"> -Meet Interim milestones -Reliable -Responsive to technical directions -Completed on time, including wrap-up and contract administration -No liquidated damages assessed 	<ul style="list-style-type: none"> -Effective management -Businesslike correspondence -Responsive to contract requirements -Prompt notification of contract problems -Reasonable/cooperative -Flexible -Pro-active -effective contractor recommended solutions -Effective snail/small disadvantaged business Subcontracting program
0. Unacceptable	Nonconformances are comprises the achievement of contract requirements, despite use of Agency resources	Cost issues are comprising performance of contract requirements.	Delays are comprising the achievement of contract requirements, Despite use of Agency resources.	Response to inquiries, technical/ service/administrative issues is not effective and responsive.
1, Poor	Nonconformances require major Agency resources to ensure achievement of contract requirements.	Cost issues require major Agency resources to ensure achievement of contract requirements.	Delays require major Agency resources to ensure achievement of contract requirements.	response to inquiries, technical/ service/administrative issues is marginally effective and responsive.
2. Minimally Acceptable	Nonconformances require minor Agency resources to ensure achievement of contract requirements.	Costs issues require minor Agency resources to ensure achievement of contract requirements.	Delays require minor Agency resources to ensure achievement of contract requirements.	Responses to inquiries, technical/ service/administrative issues is somewhat effective and responsive.
3. Acceptable	Nonconformances do not impact achievement of contract requirements.	Cost issues do not impact achievement of contract requirements.	Delays do not impact achievement of contract requirements.	Responses to inquires, technical/ service/administrative issues is usually effective and responsive.
4. Good	There are no quality problems.	There are no cost issues.	There are not delays.	Responses to inquiries, technical/ service/administrative issues is effective and responsive,
5. Excellent	The contractor has demonstrated an exceptional performance level in some or all of the above categories.			

**DISCLOSURE OF LOBBYING ACTIVITIES
CONTINUATION SHEET**

Approved by OM
0348-004

Reporting Entity: _____

Page ____ of ____

Authorized for Local Reproductio
Standard Form - LLL-

INVITATION NO.: DCKA-2015-R-0051

PROJECT NAME: CYBER SECURITY ASSESSMENT

NON-COLLUSION AFFIDAVIT

I, the undersigned depose and certify that I am the _____ Title
of the _____ Company
that I am authorized to make this affidavit on behalf of said company; and that said company
has not, either directly or indirectly, entered into any agreement, participated in any collusion, or
otherwise taken any action in restraint of free competitive proposal submittal in connection with
proposed contract.

Signature of Official

Date

**CERTIFICATION REGARDING DEBARMENT
SUSPENSION, INELIGIBILITY AND VOLUNTARY EXCLUSION
LOWER TIER COVERED TRANSACTION**

INVITATION NO.: DCKA-2015-R-0051

PROJECT NAME: CYBER SECURITY ASSESSMENT

being _____

(President or Authorized Official of Bidder)

duly sworn (or under penalty of perjury under the laws of the United States), certifies that, except as noted below, (the Company) or any person associated therewith in the capacity of (owner, partner, director, officer, principal investigator, project director, manager, auditor, or any position involving the administration of federal funds):

is not currently under suspension, debarment, voluntary exclusion, or determination of ineligibility under any Federal, District or State statutes;

has not been suspended, debarred, voluntarily excluded or determined ineligible by any Federal, District or State agency within the past three (3) years;

does not have a proposed debarment pending; and

has not been indicted, convicted, or had a civil judgment rendered against (it) by a court of competent jurisdiction in any matter involving fraud or official misconduct within the past three (3) years.

Exceptions will not necessarily result in denial of award, but will be considered in determining bidder responsibility. For any exception noted, indicate below to who it applies, initiating agency, and dates of action. Providing false information may result in criminal prosecution or administrative sanctions.

Contractor

President or Authorized Official

Date

Title

The penalties for making false statements are prescribed in the Program Fraud Civil Remedies Act of 1986 (public Law 99-509, 31 U.S.C. 3801-3812).

Subscribed and sworn before me this _____ day

at _____

City and State

Notary Seal

Notary Public