

AMENDMENT OF SOLICITATION/MODIFICATION OF CONTRACT			1. Solicitation Number DCBE-2009-R-9996	Page of Pages 1 18
2. Amendment/Modification Number M0007	3. Effective Date See Block 16C	4. Requisition/Purchase Request No. Not Applicable	5. Caption DC Department of Human Resources - Classification and	
6. Issued By: Office of Contracting and Procurement 441 4th Street, NW, Suite 700 South Washington, D.C. 20001		Code	7. Administered By (If other than line 6) DC Department of Human Resources 441 4th Street, NW, Suite 300 South Washington, D.C. 20001	
8. Name and Address of Contractor (No. Street, city, country, state and ZIP Code) Potential Offerors			9A. Amendment of Solicitation No. X DCBE-2009-R-9996	9B. Dated (See Item 11)
			10A. Modification of Contract/Order No.	10B. Dated (See Item 13)
Fed Tax ID	52-1572431			
11. THIS ITEM ONLY APPLIES TO AMENDMENTS OF SOLICITATIONS				
<input checked="" type="checkbox"/> The above numbered solicitation is amended as set forth in Item 14. The hour and date specified for receipt of Offers <input type="checkbox"/> is extended. <input checked="" type="checkbox"/> is not extended. Offers must acknowledge receipt of this amendment prior to the hour and date specified in the solicitation or as amended, by one of the following methods: (a) By completing Items 8 and 15, and returning _____ copies of the amendment; (b) By acknowledging receipt of this amendment on each copy of the offer submitted; or (c) By separate letter or fax which includes a reference to the solicitation and amendment number. FAILURE OF YOUR ACKNOWLEDGEMENT TO BE RECEIVED AT THE PLACE DESIGNATED FOR THE RECEIPT OF OFFERS PRIOR TO THE HOUR AND DATE SPECIFIED MAY RESULT IN REJECTION OF YOUR OFFER. If by virtue of this amendment you desire to change an offer already submitted, such change may be made by letter or fax, provided each letter or telegram makes reference to the solicitation and this amendment, and is received prior to the opening hour and date specified.				
12. Accounting and Appropriation Data (If Required)				
13. THIS ITEM APPLIES ONLY TO MODIFICATIONS OF CONTRACTS/ORDERS, IT MODIFIES THE CONTRACT/ORDER NO. AS DESCRIBED IN ITEM 14				
A. This change order is issued pursuant to: (Specify Authority)		27 DCMR, Chapter 36, Contract Modifications		
The changes set forth in Item 14 are made in the contract/order no. in item 10A.				
B. The above numbered contract/order is modified to reflect the administrative changes (such as changes in paying office, appropriation data, etc.) set forth in item 14, pursuant to the authority of 27 DCMR, Chapter 36, Section 3601.2.				
C. This supplemental agreement is entered into pursuant to authority of:		Title 27 DCMR Section 3601 Bilateral Agreement between the Parties		
D. Other (Specify type of modification and authority)				
E. IMPORTANT: Contractor <input type="checkbox"/> is not, <input checked="" type="checkbox"/> is required to sign this document and return _____ copies to the issuing office.				
14. Description of amendment/modification (Organized by UCF Section headings, including solicitation/contract subject matter where feasible.) Solicitation DCBE-2009-R-9996 is hereby amended as described on pages 2 - 18 that follow. ALL OTHER TERMS AND CONDITIONS OF THE CONTRACT REMAIN UNCHANGED.				
Except as provided herein, all terms and conditions of the document referenced in Item (9A or 10A) remain unchanged and in full force and effect				
15A. Name and Title of Signer (Type or print)		16A. Name of Contracting Officer James H. Marshall		
15B. Name of Contractor	15C. Date Signed	16B. District of Columbia	16C. Date Signed 5/6/2009	
(Signature of person authorized to sign)		(Signature of Contracting Officer)		

Item No.	Solicitation Reference	Amendment	Amended Solicitation Language
1	B.1	<p>First sentence, after the words seeking</p> <p>Delete: a</p> <p>Insert one (1) or two (2)</p>	<p>B.1</p> <p>INTRODUCTION</p> <p>The District of Columbia, Office of Contracting and Procurement (OCP), on behalf of the D.C. Department of Human Resources (DCHR) is seeking one (1) or two (2) qualified and experienced contractors to provide professional services to assist in the development of a new classification and compensation system for approximately 16,000 union and nonunion employees under the direct personnel authority or control of the Mayor of the District of Columbia. The purpose of the new classification and compensation systems is to ensure that District is classification and compensation systems are externally competitive, internally equitable, and fiscally sound.</p>
2	C.1	<p>First sentence, after the words seeking</p> <p>Delete: a</p> <p>Insert one (1) or two (2)</p>	<p>C.1</p> <p>SCOPE</p> <p>The District of Columbia, Office of Contracting and Procurement (OCP), on behalf of the D.C. Department of Human Resources (DCHR) is seeking one (1) or two (2) qualified and experienced contractor(s) to provide professional services to assist in the development of a new classification system and compensation system for approximately 16,000 union and nonunion employees under the direct personnel authority or control of the Mayor of the District of Columbia. The purpose of the new classification and compensation systems is to ensure that District is externally competitive, internally equitable, and fiscally sound. The new plan shall also be easily maintained to accommodate changes in the jobs, market rates, and new classifications over time.</p>

Item No.	Solicitation Reference	Amendment	Amended Solicitation Language
3	C.2.2.1	<p>Delete: In its entirety</p> <p>Insert:</p> <p>C.2.2.1 Of the approximately 16,000 employees, 9,500 employees are union employees covered by Compensation Units 1 and 2 agreements (Applicable Document #4) with just over 10,000 non-union employees. In addition, there are approximately 900 employees covered by other agreements at DMH but follow the same pay schedules as contained in the Compensation Units 1 and 2 agreements. Please note that occupational groups such as doctors and nurses are not included in this number.</p>	<p>C.2.2.1 Of the approximately 16,000 employees, 9,500 employees are union employees covered by Compensation Units 1 and 2 agreements (Applicable Document #4) with just over 10,000 non-union employees. In addition, there are approximately 900 employees covered by other agreements at DMH but follow the same pay schedules as contained in the Compensation Units 1 and 2 agreements. Please note that occupational groups such as doctors and nurses are not included in this number.</p>
4	C.2.2.2.1	<p>Delete: In its entirety</p>	Not Applicable
5	C.2.2.10	<p>First sentence</p> <p>Delete In its entirety</p> <p>Insert: The District currently operates version 8.8 of PeopleSoft including the basic Human Resource</p>	<p>The District currently operates version 8.8 of PeopleSoft including the basic Human Resource Information System (HRIS), performance management, time and attendance, recruitment, payroll and benefits components. In addition, the District currently uses all tables associated with tasks of compensation and classification</p>

	<p>Information System (HRIS), performance management, time and attendance, recruitment, payroll and benefits components.</p> <p>At the end of the section</p> <p>Insert: It is not anticipated that the upgrade of PeopleSoft to Version 9.0 will effect the integration of the Classification and Compensation systems.</p>	<p>including job code, position data, etc. The District also operates and maintains licenses for customized PeopleSoft modules including e-Recruit, e-Compensation, and e-Performance that are intended to extract data from the not currently utilized classification module. The District anticipates upgrading the PeopleSoft system to Version 9.0 in Fiscal Year (FY) 2010. It is not anticipated that the upgrade of PeopleSoft to Version 9.0 will effect the integration of the Classification and Compensation systems.</p>
<p>6</p> <p>C.3</p>	<p>At the beginning of the section</p> <p>Insert: The District intends on awarding two separate contracts; one for the development of the classification system (CLIN 0001) and another contract for the development of the compensation system (0002). In addition, the District may award the two contracts to the same contractor.</p>	<p>C.3 REQUIREMENTS</p> <p>The District intends on awarding two separate contracts; one for the development of the classification system (CLIN 0001) and another contract for the development of the compensation system (0002). In addition, the District may award the two contracts to the same contractor. The Contractor shall at a minimum provide the following in support of the development of a new classification and compensation system to successfully meet the District's Department of Human Resources (DCHR) attainment of the goals and objectives described in C.2.3:</p>
<p>7</p> <p>C.3.1.5.2.2</p>	<p>At the beginning of the section</p> <p>Insert: In addition to the meetings required under Section C.3.1.5.2.1,</p>	<p>C.3.1.5.2.2 District Management</p> <p>In addition to the meetings required under Section C.3.1.5.2.1, the Contractor shall attend bi-monthly progress meetings with District leadership and managers to provide background, progress, and updates on projects plans and deliverables.</p>

Item No.	Solicitation Reference	Amendment	Amended Solicitation Language
8	C.3.2.2	<p>Delete: In its entirety</p>	<p>C.3.2.2 Task 2 - Survey Development & Administration</p> <p>The Contractor shall perform tasks including at a minimum independent market surveys, pay range design and position slotting to the new ranges. The Contractor shall at a minimum perform the following tasks in support of the survey development and administration.</p>
9	F.1	<p>Delete: In its entirety</p> <p>Insert:: F.1</p> <p>TERM OF THE CONTRACT The terms of the Contract shall be as follows:</p> <p>F.1.1 Classification System (CLIN 0001) The term of the first contract, the development and implementation of a new Classification system, will be from date of award through twelve (12) months thereafter</p> <p>F.1.2 Compensation System (CLIN 0002) The term of the second contract, the development and implementation of a new Compensation system, will begin upon issuance of the Notice to Proceed and continue through eight (8) months thereafter.</p>	<p>F.1 TERM OF THE CONTRACT The terms of the Contract shall be as follows:</p> <p>F.1.1 Classification System (CLIN 0001) The term of the first contract, the development and implementation of a new Classification system, will be from date of award through twelve (12) months thereafter</p> <p>F.1.2 Compensation System (CLIN 0002) The term of the second contract, the development and implementation of a new Compensation system, will begin upon issuance of the Notice to Proceed and continue through eight (8) months thereafter.</p>

Item No.	Solicitation Reference	Amendment	Amended Solicitation Language
10	G.1	<p>Delete In its entirety</p> <p>Insert: G.1</p> <p>INVOICE PAYMENT</p> <p>G.1.1 The District will make payments to the Contractor(s), upon the submission of proper invoices, at the prices stipulated in this contract, for services performed and accepted less any discounts, allowances or adjustments provided for in this contract.</p> <p>G.1.2 The District will pay the Contractor(s) on or before the 30th day after receiving authorization by the COTR.</p>	<p>G.1</p> <p>INVOICE PAYMENT</p> <p>The District will make payments to the Contractor(s), upon the submission of proper invoices, at the prices stipulated in this contract, for services performed and accepted less any discounts, allowances or adjustments provided for in this contract.</p> <p>G.1.1 The District will make payments to the Contractor or Contractors, upon the submission of proper invoices, at the prices stipulated in this contract, for services performed and accepted less any discounts, allowances or adjustments provided for in this contract.</p> <p>G.1.2 The District will pay the Contractor(s) on or before the 30th day after receiving authorization by the COTR.</p>
11	G.6.2.2	<p>First sentence</p> <p>Delete: lower-tier</p>	<p>G.6.2.2</p> <p>The Contractor must pay any subcontractor or supplier interest penalties on amounts due to the subcontractor or supplier beginning on the day after the payment is due and ending on the date on which the payment is made. Interest shall be calculated at the rate of 1% per month. No interest penalty shall be paid on the following if payment for the completed delivery of the item of property or service is made on or before:</p>

Item No.	Solicitation Reference	Amendment	Amended Solicitation Language
12	H	<p>Insert:</p> <p>H.13 In the event that the District makes awards to two separate contractors, one contract for the performance of CLIN 0001, and one contract for the performance of CLIN 0002, the Contractor performing CLIN 0001 shall compensate the District for any costs the District incurs from the contractor performing CLIN 0002 due to any claim of the second contractor.” Without such a Section in the RFP, the District is subject to potential liability from the second contractor.</p>	<p>H.13 In the event that the District makes awards to two separate contractors, one contract for the performance of CLIN 0001, and one contract for the performance of CLIN 0002, the Contractor performing CLIN 0001 shall compensate the District for any costs the District incurs from the contractor performing CLIN 0002 due to any claim of the second contractor.” Without such a Section in the RFP, the District is subject to potential liability from the second contractor.</p>
13	I.11	<p>Delete In its entirety</p> <p>Insert:</p> <p>I.11 CONTRACTS IN EXCESS OF \$1 MILLION DOLLARS</p> <p>Any multi-year contract or contracts in excess of \$1,000,000 shall not be binding or give rise to any claim or demand against the District until approved by the Council of the District of Columbia and signed by the Contracting Officer.</p>	<p>I.11 CONTRACTS IN EXCESS OF \$1 MILLION DOLLARS</p> <p>Any multi-year contract or contracts in excess of \$1,000,000 shall not be binding or give rise to any claim or demand against the District until approved by the Council of the District of Columbia and signed by the Contracting Officer.</p>

Item No.	Solicitation Reference	Amendment	Amended Solicitation Language
14	L.2.1	<p>Delete In its entirety</p> <p>Insert: L.2.1 Offerors shall provide one (1) original and six (6) copies and six (6) electronic copies on compact discs of the written proposal for both CLIN 0001 and CLIN 0002 or one proposal for either CLIN.. The proposal shall be prepared and submitted in two (2) separate volumes, Volume I Technical Proposal and Volume II Price Proposal. Each volume of the proposal shall be submitted in a sealed envelope conspicuously marked:</p>	<p>L.2.1 Offerors shall provide one (1) original and six (6) copies and six (6) electronic copies on compact discs of the written proposal for both CLIN 0001 and CLIN 0002 or one proposal for either CLIN.. The proposal shall be prepared and submitted in two (2) separate volumes, Volume I Technical Proposal and Volume II Price Proposal. Each volume of the proposal shall be submitted in a sealed envelope conspicuously marked:</p>
15			<p>M.1 EVALUATION FOR AWARD The contracts for CLIN 0001 and CLIN 0002 will be awarded separately to the responsible offeror whose offer is most advantageous to the District, based upon the evaluation criteria specified below. Thus, while the points in the evaluation criteria indicate their relative importance, the total scores will not necessarily be determinative of the award. Rather, the total scores will guide the District in making an intelligent award decision based upon the evaluation criteria.</p>

Item No.	Solicitation Reference	Amendment	Amended Solicitation Language
16	M.5	<p>Delete: In its entirety</p> <p>M.5 Insert: PREFERENCES FOR CERTIFIED BUSINESS ENTERPRISES</p> <p>Under the provisions of the “Small, Local, and Disadvantaged Business Enterprise Development and Assistance Act of 2005”, as amended, D.C. Official Code § 2-218.01 <i>et seq.</i> (the Act), the District shall apply preferences in evaluating proposals from businesses that are small, local, disadvantaged, resident-owned, longtime resident, or local with a principal office located in an enterprise zone of the District of Columbia.</p> <p>M.5.1 Subcontracting Requirements</p> <p>If the prime contractor subcontracts any portion of the work under this contract, the prime contractor shall meet the following subcontracting requirements:</p> <p>M.5.1.1 At least 35% of the dollar volume shall be subcontracted to certified small business enterprises; provided, however, that the costs of materials, goods and supplies shall not be counted towards the 35%</p>	<p>M.5 PREFERENCES FOR CERTIFIED BUSINESS ENTERPRISES</p> <p>Under the provisions of the “Small, Local, and Disadvantaged Business Enterprise Development and Assistance Act of 2005”, as amended, D.C. Official Code § 2-218.01 <i>et seq.</i> (the Act), the District shall apply preferences in evaluating proposals from businesses that are small, local, disadvantaged, resident-owned, longtime resident, or local with a principal office located in an enterprise zone of the District of Columbia.</p> <p>M.5.1 Subcontracting Requirements</p> <p>If the prime contractor subcontracts any portion of the work under this contract, the prime contractor shall meet the following subcontracting requirements:</p> <p>M.5.1.1 At least 35% of the dollar volume shall be subcontracted to certified small business enterprises; provided, however, that the costs of materials, goods and supplies shall not be counted towards the 35% subcontracting requirement unless such materials, goods and supplies are purchased from certified small business enterprises; or</p>

	<p>subcontracting requirement unless such materials, goods and supplies are purchased from certified small business enterprises; or</p> <p>M.5.1.2</p> <p>If there are insufficient qualified small business enterprises to completely fulfill the requirement of paragraph M.5.1.1, then the subcontracting may be satisfied by subcontracting 35% of the dollar volume to any certified business enterprises; provided, however, that all reasonable efforts shall be made to ensure that qualified small business enterprises are significant participants in the overall subcontracting work.</p> <p>M.5.2</p> <p>Application of Preferences</p> <p>For evaluation purposes, the allowable preferences under the Act for this procurement shall be applicable to prime contractors as follows:</p> <p>M.5.2.1</p> <p>Any prime contractor that is a small business enterprise (SBE) certified by the Small and Local Business Opportunity Commission (SLBOC) or the Department of Small and Local Business Development (DSLBD), as applicable, will receive the addition of three points on a 100-point scale added to the overall score for proposals submitted by the SBE</p>	<p>M.5.1.2</p> <p>If there are insufficient qualified small business enterprises to completely fulfill the requirement of paragraph M.5.1.1, then the subcontracting may be satisfied by subcontracting 35% of the dollar volume to any certified business enterprises; provided, however, that all reasonable efforts shall be made to ensure that qualified small business enterprises are significant participants in the overall subcontracting work.</p> <p>M.5.2</p> <p>Application of Preferences</p> <p>For evaluation purposes, the allowable preferences under the Act for this procurement shall be applicable to prime contractors as follows:</p> <p>M.5.2.1</p> <p>Any prime contractor that is a small business enterprise (SBE) certified by the Small and Local Business Opportunity Commission (SLBOC) or the Department of Small and Local Business Development (DSLBD), as applicable, will receive the addition of three points on a 100-point scale added to the overall score for proposals submitted by the SBE in response to this Request for Proposals (RFP).</p> <p>M.5.2.2</p> <p>Any prime contractor that is a resident-owned business (ROB) certified by the SLBOC or the DSLBD, as applicable, will</p>
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	<p>in response to this Request for Proposals (RFP).</p> <p>M.5.2.2 Any prime contractor that is a resident-owned business (ROB) certified by the SLBOC or the DSLBD, as applicable, will receive the addition of five points on a 100-point scale added to the overall score for proposals submitted by the ROB in response to this RFP.</p> <p>M.5.2.3 Any prime contractor that is a longtime resident business (LRB) certified by the SLBOC or the DSLBD, as applicable, will receive the addition of ten points on a 100-point scale added to the overall score for proposals submitted by the LRB in response to this RFP.</p> <p>M.5.2.4 Any prime contractor that is a local business enterprise (LBE) certified by the SLBOC or the DSLBD, as applicable, will receive the addition of two points on a 100-point scale added to the overall score for proposals submitted by the LBE in response to this RFP.</p> <p>M.5.2.5 Any prime contractor that is a local business enterprise with its principal offices located in an enterprise zone (DZE) certified by the SLBOC or the DSLBD, as applicable, will receive the addition of two points on a 100-point</p>	<p>receive the addition of five points on a 100-point scale added to the overall score for proposals submitted by the ROB in response to this RFP.</p> <p>M.5.2.3 Any prime contractor that is a longtime resident business (LRB) certified by the SLBOC or the DSLBD, as applicable, will receive the addition of ten points on a 100-point scale added to the overall score for proposals submitted by the LRB in response to this RFP.</p> <p>M.5.2.4 Any prime contractor that is a local business enterprise (LBE) certified by the SLBOC or the DSLBD, as applicable, will receive the addition of two points on a 100-point scale added to the overall score for proposals submitted by the LBE in response to this RFP.</p> <p>M.5.2.5 Any prime contractor that is a local business enterprise with its principal offices located in an enterprise zone (DZE) certified by the SLBOC or the DSLBD, as applicable, will receive the addition of two points on a 100-point scale added to the overall score for proposals submitted by the DZE in response to this RFP.</p> <p>M.5.2.6 Any prime contractor that is a disadvantaged business enterprise (DBE) certified by the SLBOC or the DSLBD, as</p>
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	<p>scale added to the overall score for proposals submitted by the DZE in response to this RFP.</p>	<p>applicable, will receive the addition of two points on a 100-point scale added to the overall score for proposals submitted by the DBE in response to this RFP.</p>
<p>M.5.2.6</p>	<p>Any prime contractor that is a disadvantaged business enterprise (DBE) certified by the SLBOC or the DSLBD, as applicable, will receive the addition of two points on a 100-point scale added to the overall score for proposals submitted by the DBE in response to this RFP.</p>	<p>M.5.3</p> <p>Maximum Preference Awarded</p> <p>Notwithstanding the availability of the preceding preferences, the maximum total preference to which a certified business enterprise is entitled under the Act for this procurement is the equivalent of twelve (12) points on a 100-point scale for proposals submitted in response to this RFP. There will be no preference awarded for subcontracting by the prime contractor with certified business enterprises.</p>
<p>M.5.3</p>	<p>Maximum Preference Awarded</p> <p>Notwithstanding the availability of the preceding preferences, the maximum total preference to which a certified business enterprise is entitled under the Act for this procurement is the equivalent of twelve (12) points on a 100-point scale for proposals submitted in response to this RFP. There will be no preference awarded for subcontracting by the prime contractor with certified business enterprises.</p>	<p>M.5.4</p> <p>Preferences for Certified Joint Ventures</p> <p>When the SLBOC or the DSLBD, as applicable, certifies a joint venture, the certified joint venture will receive preferences as a prime contractor for categories in which the joint venture and</p>
<p>M.5.4</p>	<p>Preferences for Certified Joint Ventures</p> <p>When the SLBOC or the DSLBD, as applicable, certifies a joint venture, the certified joint venture will receive preferences as a prime contractor for categories in which the joint venture and</p>	<p>M.5.5.1</p> <p>Vendor Submission for Preferences</p> <p>Any vendor seeking to receive preferences</p>

	<p>the certified joint venture partner are certified, subject to the maximum preference limitation set forth in the preceding paragraph.</p>	<p>on this solicitation must submit at the time of, and as part of its proposal, the following documentation, as applicable to the preference being sought:</p>
	<p>M.5.5 Vendor Submission for Preferences</p> <p>M.5.5.1 Any vendor seeking to receive preferences on this solicitation must submit at the time of, and as part of its proposal, the following documentation, as applicable to the preference being sought:</p>	<p>M.5.5.1.1 Evidence of the vendor's or joint venture's certification by the SLBOC as an SBE, LBE, DBE, DZE, LRB or ROB, to include a copy of all relevant letters of certification from the SLBOC; or</p>
	<p>M.5.5.1.1 Evidence of the vendor's or joint venture's certification by the SLBOC as an SBE, LBE, DBE, DZE, LRB or ROB, to include a copy of all relevant letters of certification from the SLBOC; or</p> <p>M.5.5.1.2 Evidence of the vendor's or joint venture's provisional certification by the DSLBD as an SBE, LBE, DBE, DZE, LRB or ROB, to include a copy of the provisional certification from the DSLBD.</p>	<p>M.5.5.1.2 Evidence of the vendor's or joint venture's provisional certification by the DSLBD as an SBE, LBE, DBE, DZE, LRB or ROB, to include a copy of the provisional certification from the DSLBD.</p>
	<p>M.5.5.2 Any vendor seeking certification or provisional certification in order to receive preferences under this solicitation should contact the: Department of Small and Local Business Development ATTN: CBE Certification</p>	<p>M.5.5.2 Any vendor seeking certification or provisional certification in order to receive preferences under this solicitation should contact the: Department of Small and Local Business Development ATTN: CBE Certification Program 441 Fourth Street, NW, Suite 970N Washington DC 20001</p>
		<p>M.5.5.3 All vendors are encouraged to contact the DSLBD at (202) 727-3900 if additional information is required on certification procedures and requirements.</p>

	<p style="text-align: center;">Program 441 Fourth Street, NW, Suite 970N Washington DC 20001</p> <p>M.5.5.3 All vendors are encouraged to contact the DSLBD at (202) 727-3900 if additional information is required on certification procedures and requirements.</p> <p>M.5.6 Subcontracting Plan If the prime contractor intends to subcontract at least 35% of the dollar volume of this contract in accordance with the provisions of section M.5.1, the prime contractor responding to this solicitation shall be required to submit with its proposal, a notarized statement detailing its subcontracting plan. Proposals responding to this RFP shall be deemed nonresponsive and shall be rejected if the offeror intends to subcontract in accordance with the provisions of section M.5.1, but fails to submit a subcontracting plan with its proposal. Once the plan is approved by the contracting officer, changes to the plan will only occur with the prior written approval of the contracting officer and the Director of DSLBD. Each subcontracting plan shall include the following:</p> <p>M.5.6.1 A description of the goods and services to</p>	<p>M.5.6 Subcontracting Plan If the prime contractor intends to subcontract at least 35% of the dollar volume of this contract in accordance with the provisions of section M.5.1, the prime contractor responding to this solicitation shall be required to submit with its proposal, a notarized statement detailing its subcontracting plan. Proposals responding to this RFP shall be deemed nonresponsive and shall be rejected if the offeror intends to subcontract in accordance with the provisions of section M.5.1, but fails to submit a subcontracting plan with its proposal. Once the plan is approved by the contracting officer, changes to the plan will only occur with the prior written approval of the contracting officer and the Director of DSLBD. Each subcontracting plan shall include the following:</p> <p>M.5.6.1 A description of the goods and services to be provided by SBEs or, if insufficient qualified SBEs are available, by any certified business enterprises;</p> <p>M.5.6.2 A statement of the dollar value of the proposal that pertains to the subcontracts to be performed by the SBEs or, if insufficient qualified SBEs are available, by any certified business enterprises;</p>
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	<p>be provided by SBEs or, if insufficient qualified SBEs are available, by any certified business enterprises;</p>	<p>M.5.6.3 The names and addresses of all proposed subcontractors who are SBEs or, if insufficient SBEs are available, who are certified business enterprises;</p>
<p>M.5.6.2</p>	<p>A statement of the dollar value of the proposal that pertains to the subcontracts to be performed by the SBEs or, if insufficient qualified SBEs are available, by any certified business enterprises;</p>	<p>M.5.6.4 The name of the individual employed by the prime contractor who will administer the subcontracting plan, and a description of the duties of the individual;</p>
<p>M.5.6.3</p>	<p>The names and addresses of all proposed subcontractors who are SBEs or, if insufficient SBEs are available, who are certified business enterprises;</p>	<p>M.5.6.5 A description of the efforts the prime contractor will make to ensure that SBEs, or, if insufficient SBEs are available, that certified business enterprises will have an equitable opportunity to compete for subcontracts;</p>
<p>M.5.6.4</p>	<p>The name of the individual employed by the prime contractor who will administer the subcontracting plan, and a description of the duties of the individual;</p>	<p>M.5.6.6 In all subcontracts that offer further subcontracting opportunities, assurances that the prime contractor will include a statement, approved by the contracting officer, that the subcontractor will adopt a subcontracting plan similar to the subcontracting plan required by the contract;</p>
<p>M.5.6.5</p>	<p>A description of the efforts the prime contractor will make to ensure that SBEs, or, if insufficient SBEs are available, that certified business enterprises will have an equitable opportunity to compete for subcontracts;</p>	<p>M.5.6.7 Assurances that the prime contractor will cooperate in any studies or surveys that may be required by the contracting officer, and submit periodic reports, as requested by the contracting officer, to allow the District to determine the extent of compliance by the prime contractor with the subcontracting plan;</p>
<p>M.5.6.6</p>	<p>In all subcontracts that offer further subcontracting opportunities, assurances that the prime contractor will include a statement, approved by the contracting officer, that the subcontractor will adopt a subcontracting plan similar to the</p>	

	<p>subcontracting plan required by the contract;</p> <p>M.5.6.7 Assurances that the prime contractor will cooperate in any studies or surveys that may be required by the contracting officer, and submit periodic reports, as requested by the contracting officer, to allow the District to determine the extent of compliance by the prime contractor with the subcontracting plan;</p> <p>M.5.6.8 A list of the type of records the prime contractor will maintain to demonstrate procedures adopted to comply with the requirements set forth in the subcontracting plan, and assurances that the prime contractor will make such records available for review upon the District's request; and</p> <p>M.5.6.9 A description of the prime contractor's recent effort to locate SBEs or, if insufficient SBEs are available, certified business enterprises and to award subcontracts to them.</p> <p>M.5.7 Compliance Reports</p> <p>By the 21st of every month following the execution of the contract, the prime contractor shall submit to the contracting officer and the Director of DSLBD a</p>	<p>M.5.6.8 A list of the type of records the prime contractor will maintain to demonstrate procedures adopted to comply with the requirements set forth in the subcontracting plan, and assurances that the prime contractor will make such records available for review upon the District's request; and</p> <p>M.5.6.9 A description of the prime contractor's recent effort to locate SBEs or, if insufficient SBEs are available, certified business enterprises and to award subcontracts to them.</p> <p>M.5.7 Compliance Reports</p> <p>By the 21st of every month following the execution of the contract, the prime contractor shall submit to the contracting officer and the Director of DSLBD a compliance report detailing the contractor's compliance, for the preceding month, with the subcontracting requirements of the contract. The monthly compliance report shall include the following information:</p> <p>M.5.7.1 The dollar amount of the contract or procurement;</p> <p>M.5.7.2 A brief description of the goods procured or the services contracted for;</p> <p>M.5.7.3 The name and address of the business</p>
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	<p>compliance report detailing the contractor's compliance, for the preceding month, with the subcontracting requirements of the contract. The monthly compliance report shall include the following information:</p> <p>M.5.7.1 The dollar amount of the contract or procurement;</p> <p>M.5.7.2 A brief description of the goods procured or the services contracted for;</p> <p>M.5.7.3 The name and address of the business enterprise from which the goods were procured or services contracted;</p> <p>M.5.7.4 Whether the subcontractors to the contract are currently certified business enterprises;</p> <p>M.5.7.5 The dollar percentage of the contract or procurement awarded to SBEs, or if insufficient SBEs, to other certified business enterprises;</p> <p>M.5.7.6 A description of the activities the contractor engaged in, in order to achieve the subcontracting requirements set forth in section M.5.1; and</p> <p>M.5.7.7 A description of any changes to the activities the contractor intends to make by the next month to achieve the requirements</p>	<p>enterprise from which the goods were procured or services contracted;</p> <p>M.5.7.4 Whether the subcontractors to the contract are currently certified business enterprises;</p> <p>M.5.7.5 The dollar percentage of the contract or procurement awarded to SBEs, or if insufficient SBEs, to other certified business enterprises;</p> <p>M.5.7.6 A description of the activities the contractor engaged in, in order to achieve the subcontracting requirements set forth in section M.5.1; and</p> <p>M.5.7.7 A description of any changes to the activities the contractor intends to make by the next month to achieve the requirements set forth in section M.5.1.</p> <p>M.5.8 Enforcement and Penalties for Breach of Subcontracting Plan</p> <p>M.5.8.1 If during the performance of this contract, the contractor fails to comply with the subcontracting plan submitted in accordance with the requirements of this contract, and as approved by the contracting officer and the Director of DSLBD, and the contracting officer determines the contractor's failure to be a material breach of the contract, the contracting officer shall have cause to</p>
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	<p>set forth in section M.5.1.</p> <p>M.5.8 Enforcement and Penalties for Breach of Subcontracting Plan</p> <p>M.5.8.1 If during the performance of this contract, the contractor fails to comply with the subcontracting plan submitted in accordance with the requirements of this contract, and as approved by the contracting officer and the Director of DSLBD, and the contracting officer determines the contractor's failure to be a material breach of the contract, the contracting officer shall have cause to terminate the contract under the default clause of the Standard Contract Provisions.</p> <p>M.5.8.2 In addition, the willful breach by a contractor of a subcontracting plan for utilization of certified business enterprises in the performance of a contract, the failure to submit any required subcontracting plan monitoring or compliance report, or the deliberate submission of falsified data may be enforced by the DSLBD through the imposition of penalties, including monetary fines of \$15,000 or 5% of the total amount of the work that the contractor was to subcontract to certified business enterprises, whichever is greater, for each such breach, failure, or falsified submission.</p>	<p>terminate the contract under the default clause of the Standard Contract Provisions.</p> <p>M.5.8.2 In addition, the willful breach by a contractor of a subcontracting plan for utilization of certified business enterprises in the performance of a contract, the failure to submit any required subcontracting plan monitoring or compliance report, or the deliberate submission of falsified data may be enforced by the DSLBD through the imposition of penalties, including monetary fines of \$15,000 or 5% of the total amount of the work that the contractor was to subcontract to certified business enterprises, whichever is greater, for each such breach, failure, or falsified submission.</p>
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